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(FILE 'HOME' ENTERED AT 12:29:59 ON 04 FEB 2002)

FILE 'MEDLINE, AGRICOLA, CANCERLIT, SCISEARCH, CAPLUS, BIOSIS, MEDICONF'
ENTERED AT 12:31:45 ON 04 FEB 2002
E GRAHAM M?
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E GRAHAM M?
E GRAHAM MIXHAEL?
E GRAHAM MIXHAEL?/AU
E GRAHAM MICHAEL?/AU

L1 5 S E2

L2 5 DUP REM L1 (0 DUPLICATES REMOVED)

L3 5 SORT L2 PY

=> d an ti so au ab pi 13 1-5

L3 ANSWER 1 OF 5 CAPLUS COPYRIGHT 2002 ACS

KIND DATE

AN 1997:34084 CAPLUS

DN 126:55944

TI Carnation genetic engineering to reduce expression of ACC synthase and ACC oxidase enzymes of ethylene biosynthetic pathway prolongs flower post-harvest life

SO PCT Int. Appl., 98 pp.

CODEN: PIXXD2

PATENT NO.

IN Michael, Michael Zenon; Graham, Michael Wayne; Cornish, Edwina Cecily; Gutterson, Neal Ira; Tucker, William Tinsley

AB The present invention relates generally to transgenic plants which exhibit prolonged post-harvest life properties. More particularly, the present invention is directed to transgenic carnation plants modified to reduce expression of one or more enzymes assocd. with the ethylene biosynthetic pathway. Flowers of such carnation plants do not produce ethylene, or produce ethylene in reduced amts., and are, therefore, capable of surviving longer post-harvest than flowers of non-genetically modified, naturally-occurring carnation plants.

APPLICATION NO. DATE

JP 1996-533608

19960509

-----PΙ WO 9635792 A1 19961114 WO 1996-AU286 19960509 W: AL, AM, AT, AU, AZ, BB, BG, BR, BY, CA, CH, CN, CZ, DE, DK, EE, ES, FI, GB, GE, HU, IS, JP, KE, KG, KP, KR, KZ, LK, LR, LS, LT, LU, LV, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI RW: KE, LS, MW, SD, SZ, UG, AT, BE, CH, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE, BF, BJ, CF, CG, CI, CM, GA, GN AU 9654930 **A1** 19961129 AU 1996-54930 19960509 AU 703841 B2 19990401 EP 824591 Α1 19980225 EP 1996-911869 19960509 AT, BE, CH, DE, DK, ES, FR, GB, GR, IT, LI, LU, NL, SE, MC, PT, IE, SI, LT, LV, FI

L3 ANSWER 2 OF 5 CAPLUS COPYRIGHT 2002 ACS

T2

AN 1997:679171 CAPLUS

JP 11504815

DN 127:327456

TI Regulated excision of a target gene from the transformation vector in the recipient cell using a site-specific recombinase

SO PCT Int. Appl., 85 pp.

CODEN: PIXXD2

IN Surin, Brian Peter; De Feyter, Robert Charles; Graham, Michael
Wayne; Waterhouse, Peter Michael; Keese, Paul Konrad; Shahjahan, Ali

19990511

AB A method of site-specific excision of a target gene from a transformation vector using a site-specific recombinase is described. This allows the transformation of the target organism with the removal of a selectable marker carried by the vector. Excision can be regulated or constitutive depending upon the promoter regulating the recombinase gene. As a result the same selectable marker can be used can be used in a no. of sequential transformations. The method can be generally used to regulate transgene expression in genetically-manipulated organisms, for example to promote

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ŧ,
      differentiation, de-differentiation, or any unidirectional developmental
      shift of a target cell which requires the time-specific expression of a
      particular gene. The method is particularly suited to the promotion of
      specific organogeneses in plants using organogenesis-promoting transgenes,
      wherein the organs which subsequently develop in said plants are
      genetically transformed with a desired gene but lack organogenesis-
      promoting transgenes. The use flp/frt and cre/loxP recombination systems
      in tobacco (Nicotiana plumbaginifolia) is demonstrated.
                       KIND DATE
                                             APPLICATION NO.
      PATENT NO.
PΙ
      WO 9737012
                        A1
                              19971009
                                              WO 1997-AU197
                                                                 19970327
          W: AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CU, CZ, DE, DK, EE, ES, FI, GB, GE, GH, HU, IL, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MD, MG, MK, MN, MW, MX, NO, NZ, PL,
          PT, RO, RU, SD, SE, SG, SI, SK, TJ, TM, TR, TT, UA, UG, US, UZ, VN, YU, AM, AZ, BY, KG, KZ, MD, RU, TJ, TM
RW: GH, KE, LS, MW, SD, SZ, UG, AT, BE, CH, DE, DK, ES, FI, FR, GB,
              GR, IE, IT, LU, MC, NL, PT, SE, BF, BJ, CF, CG, CI, CM, GA, GN,
              ML, MR, NE, SN, TD, TG
      CA 2250111
                       AA
                              19971009
                                              CA 1997-2250111 19970327
      AU 9721437
                         A1
                              19971022
                                              AU 1997-21437
                                                                 19970327
      AU 717267
                         B2
                              20000323
      EP 922097
                        A1
                              19990616
                                              EP 1997-913984
                                                                 19970327
          R: BE, CH, DE, ES, FR, GB, IT, LI, NL, SE
      JP 2000507446
                              20000620
                                              JP 1997-534743
                         T2
                                                                 19970327
      ANSWER 3 OF 5 CAPLUS COPYRIGHT 2002 ACS
L3
AN
      1999:673015 CAPLUS
DN
      131:307674
TI
      Reducing the phenotypic expression of a gene in plant using sense and
      antisense constructs
so
      PCT Int. Appl., 82 pp.
      CODEN: PIXXD2
      Waterhouse, Peter Michael; Wang, Ming-Bo; Graham, Michael Wayne
IN
     Methods and means are provided for reducing the phenotypic expression of a
AΒ
      gene of interest in plant cells, by introducing genetic constructs
      encoding sense and antisense RNA mols. directed towards the target gene.
      The RNA is capable of forming an artificial hairpin RNA structure with a
      double stranded RNA stem by base-pairing between the regions with sense
      and antisense nucleotide sequence such that at least the 10 consecutive
      nucleotides of the sense sequence base pair with the 10 consecutive
      nucleotides of the antisense sequence resulting in an artificial hairpin
      structure. The methods are directed towards reducing viral infection,
      resulting in extreme virus resistance. In another embodiment the methods
      are directed towards reducing the phenotypic expression of an endogenous
      gene in a plant cell.
      PATENT NO.
                       KIND DATE
                                             APPLICATION NO. DATE
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PΙ
     WO 9953050
                       A1 19991021
                                             WO 1999-IB606 19990407
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              JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MD, MG, MK,
              MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ,
              TM, TR, TT, UA, UG, US, UZ, VN, YU, ZA, ZW, AM, AZ, BY, KG, KZ,
              MD, RU, TJ, TM
          RW: GH, GM, KE, LS, MW, SD, SL, SZ, UG, ZW, AT, BE, CH, CY, DE, DK,
              ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE, BF, BJ, CF, CG,
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     AU 9929514
                        A1
                             19991101
                                            AU 1999-29514
                                                                 19990407
     EP 1068311
                         Α1
                              20010117
                                            EP 1999-910592
          R: AT, BE, CH, DE, DK, ES, FR, GB, GR, IT, LI, LU, NL, SE, MC, PT,
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ANSWER 4 OF 5 CAPLUS COPYRIGHT 2002 ACS 1999:626321 CAPLUS

IE, SI, LT, LV, FI, RO

L3 AN

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ЙM
     131:238848
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AB

- Control of gene expression by synthetic genes comprising multiple copies ΤĬ of repeat sequences
- PCT Int. Appl., 161 pp. so CODEN: PIXXD2
- Graham, Michael Wayne; Rice, Robert Norman IN
  - The present invention relates generally to a method of modifying gene expression and to synthetic genes for modifying endogenous gene expression in a cell, tissue or organ of a transgenic organism, in particular a transgenic animal or plant. More particularly, the present invention utilizes recombinant DNA technol. to post-transcriptionally modify or modulate the expression of a target gene in a cell, tissue, organ or whole organism, thereby producing novel phenotypes. Novel synthetic genes and genetic constructs which are capable or repressing delaying or otherwise reducing the expression of an endogenous gene or a target gene in an organism when introduced thereto are also provided. The synthetic gene comprises tandem inverted and/or direct repeats of a genetic sequence that is endogenous to the genome of the cell, tissue, organ or organism or which is derived from a non-endogenous gene. Such genetic constructs are exemplified by (1) bovine enterovirus RNA-dependent RNA polymerase gene sequences linked to the CMV promoter and/or the SV40L promoter, (2) porcine .alpha.-1,3-galactosyltransferase (Galt) gene operably linked to the CMV promoter and/or SV40L promoter, (3) and potato Y virus Nia gene operably linked to the 35S promoter and/or the sugarcane bacilliform virus promoter. These constructs can inactivate virus gene or Galt expression in animal cells or induce virus resistance in transgenic plants. APPLICATION NO. DATE

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KIND DATE
                                                                      19990319
                                19990930
                                                  WO 1999-AU195
     WO 9949029
                          A1
PΙ
          W: AE, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CU, CZ,
               DE, DK, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ,
               TM, TR, TT, UA, UG, US, UZ, VN, YU, ZA, ZW, AM, AZ, BY, KG, KZ,
               MD, RU, TJ, TM
          RW: GH, GM, KE, LS, MW, SD, SL, SZ, UG, ZW, AT, BE, CH, CY, DE, DK,
               ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE, BF, BJ, CF, CG,
               CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG
                                                 AU 1999-29163
     AU 9929163
                          A1
                                 19991018
                                                                       19990319
                                                  BR 1999-8967
                                                                       19990319
     BR 9908967
                           Α
                                 20001219
                                                  EP 1999-910039
                                                                       19990319
                                 20010131
     EP 1071762
                           Α1
               AT, BE, CH, DE, DK, ES, FR, GB, GR, IT, LI, LU, NL, SE, MC, PT,
               IE, FI
                                                  GB 2000-24727
                                                                       19990319
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ANSWER 5 OF 5 CAPLUS COPYRIGHT 2002 ACS L3

A1

ΑN 2001:713532 CAPLUS

GB 2353282

PATENT NO.

- DN135:268121
- Post-transcriptional gene silencing via reduction of a target transcript ΤI translation for manipulation in the phenotype of an animal
- SO PCT Int. Appl., 176 pp.
  - CODEN: PIXXD2
- Graham, Michael Wayne; Rice, Robert Norman; Murphy, Kathleen IN Margaret; Reed, Kenneth Clifford

20010221

The present invention relates generally to a method of inducing, promoting AB or otherwise facilitating a change in the phenotype of an animal cell or group of animal cells including a animal comprising said cells. The modulation of phenotypic expression is conveniently accomplished via genotypic manipulation through such means as reducing translation of a target transcript (co-suppression). One aspect of the present invention provides a genetic construct comprising a nucleotide sequence substantially identical to a target endogenous gene of a vertebrate animal cell, and further comprises a nucleotide sequence complementary to said target gene, wherein the sequences identical and complementary to said target gene are sepd. by an intron sequence. In prefered embodiment said

intron sequence is an intron from a gene encoding .beta.-globin, and even more preferred the .beta.-globin intron is human .beta.-globin intron 2. The ability to induce, promote or otherwise facilitate the silencing of expressible genetic sequences provides a means for modulating the phenotype in, for example, the medical or veterinary industries. Expressible genetic sequences contemplated by the present invention including not only genes normally resident in a particular animal cell (i.e. indigenous genes) but also genes introduced through recombinant means or through infection by pathogenic agents such as viruses. PATENT NO. KIND DATE APPLICATION NO. DATE

PI WO 2001070949 A1 20010927 WO 2001-AU297 20010316

W: AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DZ, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, TZ, UA, UG, US, UZ, VN, YU, ZA, ZW, AM, AZ, BY, KG, KZ, MD, RU, TJ, TM

RW: GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZW, AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE, TR, BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG

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ANSWER 3 OF 5 CAPLUS COPYRIGHT 2002 ACS
ĽЗ
      1999:673015 CAPLUS
AN
      131:307674
DN
      Reducing the phenotypic expression of a gene in plant using sense and
ΤI
      antisense constructs
      Waterhouse, Peter Michael; Wang, Ming-Bo; Graham, Michael Wayne
IN
      Commonwealth Scientific and Industrial Research Organisation, Australia
PA
SO
      PCT Int. Appl., 82 pp.
      CODEN: PIXXD2
DT
      Patent
LA
      English
IC
      ICM C12N015-11
      ICS A01H003-00
CC
      3-2 (Biochemical Genetics)
      Section cross-reference(s): 10, 11
FAN.CNT 1
     PATENT NO.
                        KIND DATE
                                               APPLICATION NO. DATE
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ΡI
     WO 9953050
                        A1 19991021
                                               WO 1999-IB606
                                                                  19990407
             AE, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CU, CZ,
              DE, DK, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MD, MG, MK,
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              \texttt{TM}, \; \texttt{TT}, \; \texttt{UA}, \; \texttt{UG}, \; \texttt{US}, \; \texttt{UZ}, \; \texttt{VN}, \; \texttt{YU}, \; \texttt{ZA}, \; \texttt{ZW}, \; \texttt{AM}, \; \texttt{AZ}, \; \texttt{BY}, \; \texttt{KG}, \; \texttt{KZ}, \; \texttt{MD}, \; \texttt{RU}, \; \texttt{TJ}, \; \texttt{TM}
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              ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE, BF, BJ, CF, CG,
              CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG
     AU 9929514
                         A1 19991101
                                             AU 1999-29514
                                                                  19990407
     EP 1068311
                         A1
                               20010117
                                               EP 1999-910592
                                                                  19990407
              AT, BE, CH, DE, DK, ES, FR, GB, GR, IT, LI, LU, NL, SE, MC, PT,
              IE, SI, LT, LV, FI, RO
PRAI US 1998-56767
                       Α
                              19980408
     US 1998-127735
                         Α
                               19980803
     WO 1999-IB606
                         W
                               19990407
AB
     Methods and means are provided for reducing the phenotypic expression of a
     gene of interest in plant cells, by introducing genetic constructs
     encoding sense and antisense RNA mols. directed towards the target gene.
     The RNA is capable of forming an artificial hairpin RNA structure with a
     double stranded RNA stem by base-pairing between the regions with sense
     and antisense nucleotide sequence such that at least the 10 consecutive
     nucleotides of the sense sequence base pair with the 10 consecutive
     nucleotides of the antisense sequence resulting in an artificial hairpin
     structure. The methods are directed towards reducing viral infection,
     resulting in extreme virus resistance. In another embodiment the methods
     are directed towards reducing the phenotypic expression of an endogenous
     gene in a plant cell.
ST
     plant gene expression sense antisense construct; virus disease resistance
     plant sense antisense vector; oil seed rape oleate content sense antisense
     vector
IT
     Promoter (genetic element)
     RL: BUU (Biological use, unclassified); BIOL (Biological study); USES
         (35S, in CoP construct; reducing phenotypic expression of gene in plant
         using sense and antisense constructs)
IT
     Genetic vectors
         (CoP (COmplimentary Pair), sense and antisense gene contg.; reducing
         phenotypic expression of gene in plant using sense and antisense
         constructs)
IT
     Arabidopsis thaliana
     Hazel (Corylus avellana)
         (Fad2 gene from; reducing phenotypic expression of gene in plant using
         sense and antisense constructs)
IT
     Gene, plant
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ΙT

IT

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IT

RL: BPR (Biological process); BUU (Biological use, unclassified); PRP (Properties); BIOL (Biological study); PROC (Process); USES (Uses) (Fad2, reduced expression of; reducing phenotypic expression of gene in plant using sense and antisense constructs) Gene, microbial RL: BUU (Biological use, unclassified); PRP (Properties); BIOL (Biological study); USES (Uses) (Nia, obtaining virus resistance using sense and antisense constructs of; reducing phenotypic expression of gene in plant using sense and antisense constructs) Stem-loop structure (RNA capable of forming artificial; reducing phenotypic expression of gene in plant using sense and antisense constructs) Plant virus (RNA, gene of interest from; reducing phenotypic expression of gene in plant using sense and antisense constructs) Promoter (genetic element) RL: BUU (Biological use, unclassified); BIOL (Biological study); USES (Uses) (S4, in CoP construct; reducing phenotypic expression of gene in plant using sense and antisense constructs) Enhancer (genetic element) RL: BUU (Biological use, unclassified); BIOL (Biological study); USES (S7, in Co-P vector; reducing phenotypic expression of gene in plant using sense and antisense constructs) Rape (plant) (desaturase gene from; reducing phenotypic expression of gene in plant using sense and antisense constructs) Gene (expression, reducing of; reducing phenotypic expression of gene in plant using sense and antisense constructs) Potato virus Y (gene Nia from; reducing phenotypic expression of gene in plant using sense and antisense constructs) Plant (Embryophyta) (gene of interest from; reducing phenotypic expression of gene in plant using sense and antisense constructs) Conformation (hairpin loop, artificial; reducing phenotypic expression of gene in plant using sense and antisense constructs) Repetitive DNA RL: BSU (Biological study, unclassified); BIOL (Biological study) (inverted, perfect, generated from sense and antisense gene; reducing phenotypic expression of gene in plant using sense and antisense constructs) Viral RNA sequences (of Nea gene from potato virus Y, and promoter regions, used in CoP vectors; reducing phenotypic expression of gene in plant using sense and antisense constructs) DNA sequences (of Nea-CoP and Fad2-CoP vectors, and Fad2 gene from Arabidopsis thaliana and Corylus avellana; reducing phenotypic expression of gene in plant using sense and antisense constructs) Fatty acids, preparation RL: BPN (Biosynthetic preparation); BIOL (Biological study); PREP

Breeding, plant Flaveria trinervia Genetic engineering Molecular cloning

(Preparation)

(reducing phenotypic expression of gene in plant using sense and antisense constructs)

using sense and antisense constructs)

(profile, modifying of; reducing phenotypic expression of gene in plant

```
ĮΤ
     Flax
        (rust resistance gene from; reducing phenotypic expression of gene in
        plant using sense and antisense constructs)
IT
     Gene, plant
     RL: BPR (Biological process); BUU (Biological use, unclassified); BIOL
     (Biological study); PROC (Process); USES (Uses)
        (rust resistance, in sense and antisense orientation; reducing
        phenotypic expression of gene in plant using sense and antisense
        constructs)
IT
     Promoter (genetic element)
     RL: BUU (Biological use, unclassified); BIOL (Biological study); USES
     (Uses)
        (seed specific, use in Fad2-CoP vector; reducing phenotypic expression
        of gene in plant using sense and antisense constructs)
     Double stranded RNA
IT
     RL: BUU (Biological use, unclassified); BIOL (Biological study); USES
     (Uses)
        (sense and antisense RNA mol. capable of forming; reducing phenotypic
        expression of gene in plant using sense and antisense constructs)
     Genetic element
     RL: BUU (Biological use, unclassified); BIOL (Biological study); USES
        (spacer, between sense and antisense sequences; reducing phenotypic
        expression of gene in plant using sense and antisense constructs)
IT
     Genetic element
     RL: BUU (Biological use, unclassified); BIOL (Biological study); USES
        (terminator, nos, use in CoP vector; reducing phenotypic expression of
        gene in plant using sense and antisense constructs)
IT
     Johnsongrass mosaic virus
        (use of 5'-UTR region from; reducing phenotypic expression of gene in
        plant using sense and antisense constructs)
IT
     Antisense RNA
     RL: BUU (Biological use, unclassified); BIOL (Biological study); USES
        (use of chimeric genes encoding sense and; reducing phenotypic
        expression of gene in plant using sense and antisense constructs)
IT
     Transgene
     RL: BUU (Biological use, unclassified); BIOL (Biological study); USES
        (use of sense and antisense sequences of; reducing phenotypic
        expression of gene in plant using sense and antisense constructs)
IT
     Viral RNA
     RL: BUU (Biological use, unclassified); BIOL (Biological study); USES
     (Uses)
        (use of; reducing phenotypic expression of gene in plant using sense
        and antisense constructs)
IT
     Disease resistance, plant
        (viral; reducing phenotypic expression of gene in plant using sense and
        antisense constructs)
IT
     9027-40-1, Pyruvate orthophosphate dikinase
     RL: BSU (Biological study, unclassified); BIOL (Biological study)
        (gene for; reducing phenotypic expression of gene in plant using sense
        and antisense constructs)
IT
     112-80-1P, 9-Octadecenoic acid (9Z)-, preparation
     RL: BPN (Biosynthetic preparation); BIOL (Biological study); PREP
     (Preparation)
        (in oilseed rape, increasing content of; reducing phenotypic expression
        of gene in plant using sense and antisense constructs)
IT
     247211-72-9, DNA (potato virus Y gene Nia fragment)
     RL: AGR (Agricultural use); BPR (Biological process); BUU (Biological use,
     unclassified); PRP (Properties); BIOL (Biological study); PROC (Process);
     USES (Uses)
        (nucleotide sequence; reducing phenotypic expression of gene in plant
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using sense and antisense constructs)

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196217-79-5, GenBank A65102
     152410-16-7, GenBank L26296
ΙŢ
     RL: AGR (Agricultural use); BUU (Biological use, unclassified); PRP
      (Properties); BIOL (Biological study); USES (Uses)
         (nucleotide sequence; reducing phenotypic expression of gene in plant
        using sense and antisense constructs)
IT
     247211-73-0, DNA (sinthetic genetic vector Gusd-CoP)
                                                            247211-74-1D,
     modified 247211-75-2
                             247211-76-3 247211-78-5
                                                          247211-80-9
     RL: BPR (Biological process); BUU (Biological use, unclassified); PRP
      (Properties); BIOL (Biological study); PROC (Process); USES (Uses)
         (nucleotide sequence; reducing phenotypic expression of gene in plant
        using sense and antisense constructs)
     103843-28-3, Desaturase
IT
     RL: BSU (Biological study, unclassified); BUU (Biological use,
     unclassified); BIOL (Biological study); USES (Uses)
         (.delta.12, use of fad2 gene for; reducing phenotypic expression of
        gene in plant using sense and antisense constructs)
RE.CNT
              THERE ARE 16 CITED REFERENCES AVAILABLE FOR THIS RECORD
RE
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 (2) Debonte; US 5850026 1998 CAPLUS
 (3) Deutches Krebsforschungszentrum Stiftung Des Offentlichen Rechts; WO
    98/05770 1998 CAPLUS
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 (9) Montgomery, M; Trends in Genetics 1988, V14(7), P255
 (10) National Research Council of Canada; AU 20891/97 A 1997
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 (15) Waterhouse, P; Proc Natl Acad Sci USA 1998, V95, P13959 CAPLUS
 (16) Zeneca Limited; WO 93/23551 1993 CAPLUS
     ANSWER 5 OF 5 CAPLUS COPYRIGHT 2002 ACS
L3
     2001:713532 CAPLUS
ΑN
     135:268121
DN
     Post-transcriptional gene silencing via reduction of a target transcript
TI
     translation for manipulation in the phenotype of an animal
IN
     Graham, Michael Wayne; Rice, Robert Norman; Murphy, Kathleen
     Margaret; Reed, Kenneth Clifford
     Benitec Australia Ltd., Australia; State of Queensland Through Its
PA
     Department of Primary Industries
so
     PCT Int. Appl., 176 pp.
     CODEN: PIXXD2
DT
     Patent
     English
LA
IC
     ICM C12N015-11
     ICS C12N015-63
     3-1 (Biochemical Genetics)
     Section cross-reference(s): 6, 12, 13
FAN.CNT 1
                                           APPLICATION NO. DATE
     PATENT NO.
                    KIND DATE
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PΙ
     WO 2001070949
                      A1 20010927
                                         WO 2001-AU297
                                                            20010316
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             HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS,
             LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NO, NZ, PL, PT, RO,
             RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, TZ, UA, UG, US, UZ,
             VN, YU, ZA, ZW, AM, AZ, BY, KG, KZ, MD, RU, TJ, TM
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RW: GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZW, AT, BE, CH, CY,

(Biological study); PROC (Process)

DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE, TR, BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG PRAI AU 2000-6363 Α 20000317 AU 2001-2700 Α 20010124 The present invention relates generally to a method of inducing, promoting AΒ or otherwise facilitating a change in the phenotype of an animal cell or group of animal cells including a animal comprising said cells. The modulation of phenotypic expression is conveniently accomplished via genotypic manipulation through such means as reducing translation of a target transcript (co-suppression). One aspect of the present invention provides a genetic construct comprising a nucleotide sequence substantially identical to a target endogenous gene of a vertebrate animal cell, and further comprises a nucleotide sequence complementary to said target gene, wherein the sequences identical and complementary to said target gene are sepd. by an intron sequence. In prefered embodiment said intron sequence is an intron from a gene encoding .beta.-globin, and even more preferred the .beta.-globin intron is human .beta.-globin intron 2. The ability to induce, promote or otherwise facilitate the silencing of expressible genetic sequences provides a means for modulating the phenotype in, for example, the medical or veterinary industries. Expressible genetic sequences contemplated by the present invention including not only genes normally resident in a particular animal cell (i.e. indigenous genes) but also genes introduced through recombinant means or through infection by pathogenic agents such as viruses. ST gene silencing posttranscriptional animal phenotype manipulation; transcript translation redn gene silencing IT Plasmid vectors (TOPO.BG12, human .beta.-globin intron 2 contg.; post-transcriptional gene silencing via redn. of target transcript translation for manipulation in phenotype of animal) IT Gene, animal RL: BPR (Biological process); BIOL (Biological study); PROC (Process) (TP53, co-suppression, in murine cells in vitro; post-transcriptional gene silencing via redn. of target transcript translation for manipulation in phenotype of animal) IT Gene, animal RL: BPR (Biological process); BIOL (Biological study); PROC (Process) (YB-1, co-suppression, in murine cells in vitro; post-transcriptional gene silencing via redn. of target transcript translation for manipulation in phenotype of animal) IT Gene, animal RL: BPR (Biological process); BIOL (Biological study); PROC (Process) (c-erbB2, co-suppression, in vitro; post-transcriptional gene silencing via redn. of target transcript translation for manipulation in phenotype of animal) IT Bovine enterovirus (co-suppression of RNA polymerase gene of; post-transcriptional gene silencing via redn. of target transcript translation for manipulation in phenotype of animal) IT Genetic vectors (comprising sequences identical and complimentary to target gene, sepd. by intron; post-transcriptional gene silencing via redn. of target transcript translation for manipulation in phenotype of animal) IT Translation, genetic (down-regulation of; post-transcriptional gene silencing via redn. of target transcript translation for manipulation in phenotype of animal) IT Gene, animal RL: BPR (Biological process); BIOL (Biological study); PROC (Process) (for Brn-2 transcription factor, co-suppression, in melanoma cells in vitro; post-transcriptional gene silencing via redn. of target transcript translation for manipulation in phenotype of animal) ΙT mRNA RL: ANT (Analyte); BPR (Biological process); ANST (Analytical study); BIOL

(for target endogenous gene or transgene; post-transcriptional gene

Gene, animal

```
silencing via redn. of target transcript translation for manipulation
 .
         in phenotype of animal)
      Proteins, specific or class
. IT
      RL: ARU (Analytical role, unclassified); BPN (Biosynthetic preparation);
      ANST (Analytical study); BIOL (Biological study); PREP (Preparation)
         (green fluorescent, enhanced, plasmid pEGFP-N1 encoding, co-suppression
         of; post-transcriptional gene silencing via redn. of target transcript
         translation for manipulation in phenotype of animal)
 IT
     Gene therapy
         (in vertebrate; post-transcriptional gene silencing via redn. of target
         transcript translation for manipulation in phenotype of animal)
 IT
     Primate
         (including human, manipulating phenotype of; post-transcriptional gene
         silencing via redn. of target transcript translation for manipulation
         in phenotype of animal)
 IT
     Genetic element
     RL: BUU (Biological use, unclassified); BIOL (Biological study); USES
      (Uses)
         (intron, 2, of human .beta.-globin gene, identical and complimentary to
         target gene sequences in vector sepd. by; post-transcriptional gene
         silencing via redn. of target transcript translation for manipulation
         in phenotype of animal)
IT
     Animal
         (lab. test, manipulating phenotype of; post-transcriptional gene
        silencing via redn. of target transcript translation for manipulation
        in phenotype of animal)
IT
     Bird (Aves)
     Fish
     Livestock
     Mammal (Mammalia)
     Mouse
     Reptile
     Vertebrate (Vertebrata)
         (manipulating phenotype of; post-transcriptional gene silencing via
        redn. of target transcript translation for manipulation in phenotype of
        animal)
IT
     Transcription, genetic
         (no redn. in, run-on assays for; post-transcriptional gene silencing
        via redn. of target transcript translation for manipulation in
        phenotype of animal)
ΙT
     Dot blot hybridization
         (of transcripts; post-transcriptional gene silencing via redn. of
        target transcript translation for manipulation in phenotype of animal)
IT
     Plasmid vectors
         (pCMVpur.GFP.BG12.PFG, contains palindrome of EGFP gene that is
        interrupted by insertion of .beta.-globin intron; post-transcriptional
        gene silencing via redn. of target transcript translation for
        manipulation in phenotype of animal)
IT
     Phenotypes
         (post-transcriptional gene silencing via redn. of target transcript
        translation for manipulation in phenotype of animal)
IT
         (processes, post-transcriptional silencing; post-transcriptional gene
        silencing via redn. of target transcript translation for manipulation
        in phenotype of animal)
IT
     PCR (polymerase chain reaction)
         (real time, in transcription run-on assay; post-transcriptional gene
        silencing via redn. of target transcript translation for manipulation
        in phenotype of animal)
IT
     Transgene
     RL: BPR (Biological process); BIOL (Biological study); PROC (Process)
        (target, silencing; post-transcriptional gene silencing via redn. of
        target transcript translation for manipulation in phenotype of animal)
IT
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RL: BPR (Biological process); BIOL (Biological study); PROC (Process)

```
(target; post-transcriptional gene silencing via redn. of target
         transcript translation for manipulation in phenotype of animal)
. IT
      Animal cell
         (vertebrate, gene silencing in; post-transcriptional gene silencing via
         redn. of target transcript translation for manipulation in phenotype of
         animal)
 IT
      Globins
      RL: BSU (Biological study, unclassified); BIOL (Biological study)
         (.beta.-globin, vector comprising intron from human gene for;
         post-transcriptional gene silencing via redn. of target transcript
         translation for manipulation in phenotype of animal)
 TT
      9068-09-1, .alpha. galactosyl transferase
      RL: BSU (Biological study, unclassified); BIOL (Biological study)
         (GalT, co-suppression of gene for, in transgenic mouse;
         post-transcriptional gene silencing via redn. of target transcript
         translation for manipulation in phenotype of animal)
 IT
      9002-10-2P, tyrosinase
      RL: ARU (Analytical role, unclassified); BPN (Biosynthetic preparation);
      ANST (Analytical study); BIOL (Biological study); PREP (Preparation)
         (co-suppression of gene for, detected in melanocytes;
         post-transcriptional gene silencing via redn. of target transcript
         translation for manipulation in phenotype of animal)
 IT
      9002-06-6P, Thymidine kinase
      RL: ARU (Analytical role, unclassified); BPN (Biosynthetic preparation);
      ANST (Analytical study); BIOL (Biological study); PREP (Preparation)
         (co-suppression of gene for; post-transcriptional gene silencing via
         redn. of target transcript translation for manipulation in phenotype of
         animal)
 IT
      363240-78-2, 1: PN: WO0170949 SEQID: 1 unclaimed DNA
                                                             363240-79-3, 2: PN:
      WO0170949 SEQID: 2 unclaimed DNA 363240-80-6, 3: PN: WO0170949 SEQID: 3
      unclaimed DNA 363240-81-7, 4: PN: WO0170949 SEQID: 4 unclaimed DNA
      363240-82-8, 5: PN: WO0170949 SEQID: 5 unclaimed DNA
                                                             363240-83-9, 6: PN:
      WO0170949 SEQID: 6 unclaimed DNA
                                        363240-84-0, 7: PN: WO0170949 SEQID: 7
      unclaimed DNA 363240-85-1, 8: PN: WO0170949 SEQID: 8 unclaimed DNA
      363240-86-2, 9: PN: WO0170949 SEQID: 9 unclaimed DNA
                                                             363240-87-3
                                               363240-91-9
      363240-88-4
                  363240-89-5
                                363240-90-8
                                                              363240-92-0
      363240-93-1
                    363240-94-2
                                  363240-95-3
                                                363240-96-4
                                                              363240-97-5
                    363240-99-7
                                  363241-00-3
      363240-98-6
                                                363241-01-4
      RL: PRP (Properties)
         (unclaimed nucleotide sequence; post-transcriptional gene silencing via
         redn. of a target transcript translation for manipulation in the
         phenotype of an animal)
 RE.CNT
               THERE ARE 8 CITED REFERENCES AVAILABLE FOR THIS RECORD
 RE
 (1) Birchler; Current Opinion in Genetics & Development 2000, V10, P211 CAPLUS
 (2) Cogoni; Current Opinion in Genetics & Development 2000, V10, P638 CAPLUS
 (3) Marathe; Plant Molecular Biology 2000, V43, P295 CAPLUS
 (4) Oates; Developmental Biology 2000, V224, P20 CAPLUS
 (5) Putlitz; Antisense & Nucleic Acid Drug Development 1999, V9, P241 CAPLUS
 (6) Tavernarakis; Nature Genetics 2000, V24, P180 CAPLUS
 (7) Ui-Tei; FEBS 2000, V479, P79 CAPLUS
 (8) Wargelius; Biochem Biophys Research Comm 1999, V263, P156 CAPLUS
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L2

L3

L4

L5

L6

L8

### (FILE 'HOME' ENTERED AT 12:29:59 ON 04 FEB 2002)

FILE 'MEDLINE, AGRICOLA, CANCERLIT, SCISEARCH, CAPLUS, BIOSIS, MEDICONF' ENTERED AT 12:31:45 ON 04 FEB 2002

E GRAHAM M?

E GRAHAM MIXHAEL?

E GRAHAM MIXHAEL?/AU

E GRAHAM MICHAEL?/AU

L15 S E2

5 DUP REM L1 (0 DUPLICATES REMOVED)

5 SORT L2 PY

511 S POST-TRANSCRIPTIONAL GENE SILENCING

174 DUP REM L4 (337 DUPLICATES REMOVED)

29 S L5 AND PY<=1998

29 SORT L6 PY L7

14 S L5 AND (ANTISEN? AND SENSE?)

14 SORT L8 PY L9

=> d an ti so au ab pi 19 1-14

L9 ANSWER 1 OF 14 MEDLINE

AN 97134915 MEDLINE

TI RNA as a target and an initiator of post-transcriptional gene silencing in transgenic plants.

SO PLANT MOLECULAR BIOLOGY, (1996 Oct) 32 (1-2) 79-88. Ref: 56 Journal code: A60; 9106343. ISSN: 0167-4412.

ΑU Baulcombe D C

#### AB Post-transcriptional gene silencing

in transgenic plants is the manifestation of a mechanism that suppresses RNA accumulation in a sequence-specific manner. The target RNA species may be the products of transgenes, endogenous plant genes or viral RNAs. For an RNA to be a target it is necessary only that it has sequence homology to the sense RNA product of the transgene. There are three current hypotheses to account for the mechanism of post

transcriptional gene silencing. These models all require production of an antisense RNA of the RNA targets to account for the specificity of the mechanism. There could be either direct transcription of the antisense RNA from the transgene, antisense RNA produced in response to over expression of the transgene or antisense RNA produced in response to the production of an aberrant sense RNA product of the transgene. To determine which of these models is correct it will be necessary to find out whether transgene methylation, which is frequently associated with the potential of transgenes to confer post-transcriptional

gene silencing, is a cause or a consequence of the process.

L9 ANSWER 2 OF 14 CAPLUS COPYRIGHT 2002 ACS

1996:637985 CAPLUS ΔN

DN 125:294613

ΤI Post-transcriptional gene silencing

in tomato

Mech. Appl. Gene Silencing, [Easter Sch. Agric. Sci.], 57th (1996), SO Meeting Date 1995, 105-117. Editor(s): Grierson, Donald; Lycett, Grantley W.; Tucker, Gregory A. Publisher: Nottingham University Press, Nottingham, UK.

CODEN: 63NBAT

ΑU Hamilton, A. J.; Brown, S.; Grierson, D.

AB Sense and antisense transgenes have been used to inhibit the expression of a no. of tomato genes including those encoding the enzymes ACC-oxidase and polygalacturonase. The dramatic redn. in the activity of these enzymes enhances the storage qualities of these fruit and so may be of considerable economic importance. Here the authors describe some of their work conducted in order to understand how such

homologous transgenes can reduce gene expression so efficiently, focusing on.

- L9 ANSWER 3 OF 14 AGRICOLA
- AN 1998:58811 AGRICOLA
- TI Pathogen-derived resistance targeted against the negative-strand RNA of tobacco mosaic virus: RNA strand-specific gene silencing?
- SO The Plant journal: for cell and molecular biology, Feb 1998. Vol. 13, No. 4. p. 537-546
  Publisher: Oxford: Blackwell Sciences Ltd.

ISSN: 0960-7412

- AU Marano, M.R.; Baulcombe, D.
- AB Tobacco plants transformed with the open-reading frame (ORF) of tobacco mosaic virus strain U1 (TMV-U1) encoding a 54 kDa (54K) region of the viral replicase are resistant against TMV strain U1. These plants are not resistant against the crucifer strain of TMV or the heterologous virus, potato virus X (PVX). However, they are resistant against derivatives of PVX containing fragments of the 54K ORF inserted either in the sense or anti-sense orientation. The smallest fragment that was a target of the resistance mechanism was a 383 nucleotide region from the central part of the 54K ORF. A transient gene expression assay revealed that this central region was also the target of a post-transcriptional gene silencing mechanism.

  However, unlike other examples of gene silencing associated with virus resistance, the silencing was specific for the anti-sense rather

However, unlike other examples of gene silencing associated with virus resistance, the silencing was specific for the anti-sense rather than the coding strand of the target RNA. Based on these data the authors propose that the TMV resistance is due, at least in part, to a type of transgene silencing.

- L9 ANSWER 4 OF 14 MEDLINE
- AN 1999147078 MEDLINE
- Activation of systemic acquired silencing by localised introduction of
- SO CURRENT BIOLOGY, (1999 Jan 28) 9 (2) 59-66. Journal code: B44; 9107782. ISSN: 0960-9822.
- AU Palauqui J C; Balzergue S
- AB BACKGROUND: In plants, post-transcriptional gene silencing results in RNA degradation after

transcription. Among tobacco transformants carrying a nitrate reductase (Nia) construct under the control of the cauliflower mosaic virus 35S promoter (35S-Nia2), one class of transformants spontaneously triggers Nia post-transcriptional gene silencing

(class II) whereas another class does not (class I). Non-silenced plants of both classes become silenced when grafted onto silenced stocks, indicating the existence of a systemic silencing signal. Graft-transmitted silencing is maintained in class II but not in class I plants when removed from silenced stocks, indicating similar requirements for spontaneous triggering and maintenance. RESULTS: Introduction of 35S-Nia2 DNA by the gene transfer method called biolistics led to localised acquired silencing (LAS) in bombarded leaves of wild-type, class I and class II plants, and to systemic acquired silencing (SAS) in class II plants. SAS occurred even if the targeted leaf was removed 2 days after bombardment, indicating that the systemic signal is produced, transmitted and amplified rapidly. SAS was activated by sense, antisense and promoterless

Nia2 DNA constructs, indicating that transcription is not required although it does stimulate SAS. CONCLUSIONS: SAS was activated by biolistic introduction of promoterless constructs, indicating that the DNA itself is a potent activator of post-transcriptional

gene silencing. The systemic silencing signal invaded the whole plant by cell-to-cell and long-distance propagation, and reamplification of the signal.

- L9 ANSWER 5 OF 14 MEDLINE
- AN 2000457908 MEDLINE
- TI dsRNA-mediated gene silencing in cultured Drosophila cells: a tissue

culture model for the analysis of RNA interference.

SO GENE, (2000 Jul 11) 252 (1-2) 95-105.

Journal code: FOP; 7706761. ISSN: 0378-1119.

AU Caplen N J; Fleenor J; Fire A; Morgan R A

AB RNA interference (RNAi) is a form of post-

transcriptional gene silencing that has been described in a number of plant, nematode, protozoan, and invertebrate species. RNAi is characterized by a number of features: induction by double stranded RNA (dsRNA), a high degree of specificity, remarkable potency and spread across cell boundaries, and a sustained down-regulation of the target gene. Previous studies of RNAi have examined this effect in whole organisms or in extracts thereof; we have now examined the induction of RNAi in tissue culture. A screen of mammalian cells from three different species showed no evidence for the specific down-regulation of gene expression by dsRNA. By contrast, RNAi was observed in Drosophila Schneider 2 (S2) cells. Green fluorescent protein (GFP) expression in S2 cells was inhibited in a dose-dependent manner by transfection of dsRNA corresponding to gfp when GFP was expressed either transiently or stably. This effect was structure- and sequence-specific in that: (1) little or no effect was seen when antisense (or sense) RNA was transfected; (2) an unrelated dsRNA did not reduce GFP expression; and (3) dsRNA corresponding to gfp had no effect on the expression of an unrelated target transgene. This invertebrate tissue culture model should allow facile assays for loss of function in a well-defined cellular system and

L9 ANSWER 6 OF 14 MEDLINE

involved in this process.

- AN 2000409274 MEDLINE
- TI High-efficiency silencing of a beta-glucuronidase gene in rice is correlated with repetitive transgene structure but is independent of DNA methylation.

facilitate further understanding of the mechanism of RNAi and the genes

- SO PLANT MOLECULAR BIOLOGY, (2000 May) 43 (1) 67-82. Journal code: A60; 9106343. ISSN: 0167-4412.
- AU Wang M B; Waterhouse P M
- Two transgenic callus lines of rice, stably expressing a AB beta-glucuronidase (GUS) gene, were supertransformed with a set of constructs designed to silence the resident GUS gene. An inverted-repeat (i/r) GUS construct, designed to produce mRNA with self-complementarity, was much more effective than simple sense and antisense constructs at inducing silencing. Supertransforming rice calluses with a direct-repeat (d/r) construct, although not as effective as those with the i/r construct, was also substantially more effective in silencing the resident GUS gene than the simple sense and antisense constructs. DNA hybridisation analyses revealed that every callus line supertransformed with either simple sense or antisense constructs, and subsequently showing GUS silencing, had the silence-inducing transgenes integrated into the plant genome in inverted-repeat configurations. The silenced lines containing i/r and d/r constructs did not necessarily have inverted-repeat T-DNA insertions. There was significant methylation of the GUS sequences in most of the silenced lines but not in the unsilenced lines. However, demethylation treatment of silenced lines with 5-azacytidine did not reverse the post-transcriptional gene silencing

(PTGS) of GUS. Whereas the levels of RNA specific to the resident GUS gene were uniformly low in the silenced lines, RNA specific to the inducer transgenes accumulated to a substantial level, and the majority of the i/r RNA was unpolyadenylated. Altogether, these results suggest that both sense- and antisense-mediated gene suppression share a similar molecular basis, that unpolyadenylated RNA plays an important role in PTGS, and that methylation is not essential for PTGS.

- L9 ANSWER 7 OF 14 MEDLINE
- AN 2000216075 MEDLINE
- TI Transgenic resistance to PVY(0) associated with post-transcriptional

09100812

AB

silencing of P1 transgene is overcome by PVY(N) strains that carry highly homologous P1 sequences and recover transgene expression at infection.

- SO MOLECULAR PLANT-MICROBE INTERACTIONS, (2000 Apr) 13 (4) 366-73.

  Journal code: A9P; 9107902. ISSN: 0894-0282.
  - AU Maki-Valkama T; Valkonen J P; Kreuze J F; Pehu E
  - AB Resistance to Potato virus Y (PVY) has been obtained in our previous studies through expression of the PVY P1 gene in sense or antisense orientation in potato cv. Pito. In the present study, the mechanism and strain specificity of the resistance were analyzed. Several features including low steady-state P1 mRNA expression in the resistant P1 plants indicated that resistance was based on post-transcriptional gene silencing (PTGS).

Resistance was specific to PVY(O) isolates, the PVY strain group from which the P1 transgene was derived. However, according to group analyses, there was no distinguishing characteristic between the PVY(O) and PVY(N) strains P1 gene sequences. Therefore, the ability of the PVY(N) strains to overcome resistance could not be explained solely based on their P1 gene sequences. Infection with PVY(N) of the PVY(O)-resistant transgenic lines led to a recovery of expression of the P1 transgene. These data suggested that factors other than sequence homology are required in determination of the resistance specificity.

- L9 ANSWER 8 OF 14 MEDLINE
- AN 2000117527 MEDLINE
- TI Distinct features of post-transcriptional gene silencing by antisense transgenes in single copy and inverted T-DNA repeat loci.
- SO PLANT JOURNAL, (2000 Jan) 21 (1) 27-42. Journal code: BRU; 9207397. ISSN: 0960-7412.
- AU Stam M; de Bruin R; van Blokland R; van der Hoorn R A; Mol J N; Kooter J M
  - The application of antisense transgenes in plants is a powerful tool to inhibit gene expression. The underlying mechanism of this inhibition is still poorly understood. High levels of antisense RNA (as-RNA) are expected to result in strong silencing but often there is no clear correlation between as-RNA levels and the degree of silencing. To obtain insight into these puzzling observations, we have analyzed several petunia transformants of which the pigmentation gene chalcone synthase (Chs) is post-transcriptionally silenced in corollas by antisense (as) Chs transgenes. The transformants were examined with respect to the steady-state as-RNA level, transcription level of the as-transgenes, the repetitiveness and structure of the integrated T-DNAs, and the methylation status of the transgenes. This revealed that the transformants can be divided in two classes: the first class contains a single copy (S) T-DNA of which the as-Chs gene is transcribed, although several-fold lower than the endogenous Chs genes. As there are not sufficient as-RNAs to degrade every mRNA, we speculate that silencing is induced by double-stranded RNA. The second class contains two T-DNAs which are arranged as inverted repeats (IRs). These IR loci are severely methylated and the as-Chs transgenes transcriptionally barely active. The strongest silencing was observed with IR loci in which the as-Chs transgenes were proximal to the centre of the IR. Similar features have been described for co-suppression by IRs composed of sense Chs transgenes, suggesting that silencing by antisense IRs also occurs by co-suppression, either via ectopic DNA pairing or via dsRNA.
- L9 ANSWER 9 OF 14 CAPLUS COPYRIGHT 2002 ACS
- AN 2000:718761 CAPLUS
- DN 134:203186
- TI Gene expression: Total silencing by intron-spliced hairpin RNAs
- SO Nature (London) (2000), 407(6802), 319-320 CODEN: NATUAS; ISSN: 0028-0836
- AU Smith, Neil A.; Singh, Surinder P.; Wang, Ming-Bo; Stoutjesdijk, Peter A.; Green, Allan G.; Waterhouse, Peter M.
- AB Post-transcriptional gene silencing (PTGS), a sequence-specific RNA degrdn. mechanism inherent in many life

forms, can be induced in plants by transforming them with either antisense or co-suppression constructs, but typically this results in only a small proportion of silenced individuals. Here we show that gene constructs encoding intron-spliced RNA with a hairpin structure can induce PTGS with almost 100% efficiency when directed against viruses or endogenous genes. Using principles we developed for silencing constructs that express double-stranded RNA and inverted-repeat RNA, we made a construct encoding a single self complementary hairpin RNA (hpRNA) of the Niaprotease (Pro) gene sequence of potato virus Y (PVY). The construct contains sense and antisense Pro sequences flanking a nucleotide spacer fragment derived from uidaA (GUS) gene. About 60% of the plants that are transformed with the constructs were immune to the virus. In the next expt., we replaced the spacer with an intron sequence, which is spliced out during pre-mRNA processing to produce loopless hpRNA. As a control, the intron sequence was inserted in the reverse, non-splicing, orientation. When transformed into tobacco, 22 of 34 (65%) reverse-intron plants were immune, a similar frequency to plants transformed with the GUS spacer construct. Amazingly, 22 of 23 plants transformed with the construct contg. the functional intron were immune to the virus. This same enhancement was obsd. when hpRNA constructs against the endogenous .apprch.12-desaturase (Fad2) gene of Arabidopsis, in which 100% (30/30) of plants transformed with the intron construct showed silencing of the gene. The process of intron excision from the construct by spliceosome might help to align the complementary arms to the hairpin in an environment favoring RNA hybridization, promoting the formation of a duplex. Alternatively, splicing may transiently increase the amt. of hairpin RNA by facilitating, or retarding, the hairpin's passage from the nucleus, or by creating a smaller, less nuclease-sensitive loop.

- L9 ANSWER 10 OF 14 BIOSIS COPYRIGHT 2002 BIOLOGICAL ABSTRACTS INC.
- AN 2001:311442 BIOSIS
- TI Use of RNA interference (RNAi) to disrupt C-Kit gene expression in malignant human hematopoietic and neuroepithelial cells.
- SO Blood, (November 16, 2000) Vol. 96, No. 11 Part 2, pp. 378b. print. Meeting Info.: 42nd Annual Meeting of the American Society of Hematology San Francisco, California, USA December 01-05, 2000 American Society of Hematology
  - . ISSN: 0006-4971.
- AU Demir, Gokhan (1); Ptasznik, Andrzej; Zou, Shaomin; Fisher, Robert C.; Ratajczak, Mariusz Z.; Henningson, Carl; Gewirtz, Alan M.
- AΒ In many cell types, post-transcriptional gene silencing (PTGS), or RNAi, is a highly reproducible strategy for disrupting gene expression at the mRNA level. The mechanism of RNAi remains uncertain, but the process is initiated by gene specific double stranded RNA (dsRNA) when introduced into a receptive cell. The utility of this technique in mammalian cells has been uncertain and was the focus of this study. To this end, we evaluated dsRNA effects on Kit receptor (KitR) expression in malignant human neuroepithelial and hematopoietic cells. cDNAs corresponding to 828 bp and 724 bp fragments respectively of KitR and green fluorescent protein (GFP) were cloned into pcDNA3 which was then utilized to in vitro transcribe sense and corresponding antisense RNA strands. After strand annealing, dsRNA integrity was confirmed by gel and then column purified. KitR expressing CHP 100 neuroepithelioma cells and HL-60 cells were employed as indicators. Lines were maintained in (RPMI+10% BCS) to which was added varying amounts (150-350 mug/ml) of Kit (KdsRNA) or GFP dsRNA (GdsRNA). Cells were incubated at 37degreeC, in 5% CO2 for 1-4 days, and then removed for FACS analysis of KitR expression using a monoclonal antibody (Dr. V. Brody, Univ. of Washington). No effect on KitR expression was observed until day 3, and then only in cells exposed to the KdsRNA. For example, after incubation with 150 mug/ml of KdsRNA, the percentage of (+) CHP cells decreased from 96+-2% to 80+-3%. The mean geometric fluorescence intensity (FI) on expressing cells decreased 2.25+0.25 fold (p<0.01). At a dose of 250 mug/ml of KdsRNA, KitR was decreased to 67+-2% and the FI decreased by 2.75+-0.50 fold (p<0.01). Doses >350 mug/ml of dsRNA were toxic. In HL 60

cells, KdsRNA doses < 280 mug/ml were ineffective, but at that dose the % of (+) cells decreased from 84+-2% to 36+-2%. However, FI decreased only 1.38+-0.5 fold. To further document KitR disruption, HL-60 cells were exposed to KdsRNA (300 mug/ml X 3 days) and then stimulated with SCF (150 ng/ml). Autophosphorylation of lyn, a known downstream effect of KitR engagement, was significantly diminished in KdsRNA treated cells compared to controls. We conclude that some mammalian cells are variably susceptible to RNAi, and thereby provide support for the development of therapeutically motivated PTGS in patients with malignant disease.

- L9 ANSWER 11 OF 14 MEDLINE
- AN 2001640922 MEDLINE
- TI Transgene-mediated post-transcriptional gene silencing is inhibited by 3' non-coding sequences in Paramecium.
- SO NUCLEIC ACIDS RESEARCH, (2001 Nov 1) 29 (21) 4387-94. Journal code: 0411011. ISSN: 1362-4962.
- AU Galvani A; Sperling L
- AB Homology-dependent gene silencing is achieved in Paramecium by introduction of gene coding regions into the somatic nucleus at high copy number, resulting in reduced expression of all homologous genes. Although a powerful tool for functional analysis, the relationship of this phenomenon to gene silencing mechanisms in other organisms has remained obscure. We report here experiments using the T4a gene, a member of the trichoeyst matrix protein (TMP) multigene family encoding secretory proteins, and the ND7 gene, a single copy gene required for exocytotic membrane fusion. Silencing of either gene leads to an exocytosis-deficient phenotype easily scored on individual cells. For each gene we have tested the ability of different combinations of promoter, coding and 3' non-coding regions to provoke silencing, and analyzed transcription and steady-state RNA in the transformed cells. We provide evidence that homology-dependent gene silencing in Paramecium is post-transcriptional and that both sense and antisense RNA are transcribed from the transgenes, consistent with a role for dsRNA in triggering silencing. Constructs with and without promoters induce gene silencing. However, transgenes that contain 3' non-coding regions do not induce gene silencing, despite antisense RNA production. We present a model according to which different pathways of RNA metabolism compete for transcripts and propose that the relative efficiencies of dsRNA formation and of 3' RNA processing of sense transgene transcripts determine the outcome of transformation experiments.
- L9 ANSWER 12 OF 14 MEDLINE
- AN 2001509338 MEDLINE
- TI Transgenic resistance in potato plants expressing potato leaf roll virus (PLRV) replicase gene sequences is RNA-mediated and suggests the involvement of post-transcriptional gene silencing.
- SO ARCHIVES OF VIROLOGY, (2001 Jul) 146 (7) 1337-53. Journal code: 8L7; 7506870. ISSN: 0304-8608.
- AU Vazquez Rovere C; Asurmendi S; Hopp H E
- Genetically engineered expression of replicase encoding sequences has been proposed as an efficient system to confer protection against virus diseases by eliciting protection mechanisms in the plant. Potato leaf-roll was one of the first diseases for which this kind of protection was engineered in potato plants. However, details of the protecting mechanism were not reported, so far. The ORF2b of an Argentinean strain of PLRV was cloned and sequenced finding 94% and 97% of homology with Australian and Dutch strains, respectively. To elucidate the mechanism of protection against PLRV infection, three versions of ORF2b (non-translatable sense, translatable sense with an engineered ATG and antisense) were constructed under the control of the 35S CaMV promoter and the nos terminator and introduced in potato plants (cv. Kennebec) by Agrobacterium tumefaciens-mediated transformation. Grafting infection experiments showed that resistant transgenic plants could be

obtained with any of the constructs, suggesting that the mechanism of

protection is independent of the expression of protein and is RNA mediated. Field trial infection confirmed that resistant transgenic events were obtained. Biolistic transient transformation experiments of leaves derived from transgenic plants using a gene coding for the fusion protein GUS-ORF2b, followed by scoring of the number of GUS expressing leaf spots, supported that the protection is mediated by a post-transcriptional gene silencing mechanism.

- L9 ANSWER 13 OF 14 SCISEARCH COPYRIGHT 2002 ISI (R)
- AN 2002:27850 SCISEARCH
- TI Graft transmission of induced and spontaneous post-transcriptional silencing of chitinase genes
- SO PLANT JOURNAL, (DEC 2001) Vol. 28, No. 5, pp. 493-501.
  Publisher: BLACKWELL SCIENCE LTD, P O BOX 88, OSNEY MEAD, OXFORD OX2 ONE,
  OXON, ENGLAND.
  ISSN: 0960-7412.
- AU Crete P; Leuenberger S; Iglesias V A; Suarez V; Schob H; Holtorf H; van Eeden S; Meins F (Reprint)
- AB Sense and antisense tobacco chitinase (CHN)
  transgenes, Luciferase-CHN transcriptional fusions, and promoterless CHN
  cDNAs were introduced biolistically into CHN transformants of tobacco that
  never exhibit spontaneous gene silencing. All of the constructs tested
  induced systemic silencing of the resident CHN transgene and endogenes.
  Nuclear run-on transcription assays showed that local introduction of
  additional gene copies triggers systemic post-

transcriptional gene silencing (PTGS).

Together, this provides evidence that additional transgene copies need not be either highly transcribed or produce sense transcripts to evoke production of systemic PTGS signals. CHN PTGS was transmitted by top grafting, but not by reciprocal grafting of mature stems or the exchange of tissue plugs. Thus, the commonly encountered difficulties in achieving graft-transmission could reflect the method used. Silencing in sense but not antisense transformants was transmitted by grafting to a high-expressing sense CHN scion suggesting that the elaboration of mobile signals may not be an essential feature of antisense-mediated gene silencing.

- L9 ANSWER 14 OF 14 SCISEARCH COPYRIGHT 2002 ISI (R)
- AN 2001:835727 SCISEARCH

AB

- TI PTGS in plants, a virus resistance mechanism
- SO M S-MEDECINE SCIENCES, (AUG-SEP 2001) Vol. 17, No. 8-9, pp. 845-855. Publisher: MASSON EDITEUR, 120 BLVD SAINT-GERMAIN, 75280 PARIS 06, FRANCE. ISSN: 0767-0974.
- AU Beclin C (Reprint); Vaucheret H

### Post-transcriptional gene

silencing (PTGS) in plants and quelling in fungi are transgene-induced silencing phenomena, resulting from the degradation of transgene RNAs and homologous endogenous RNAs. PTGS shows similarities with RNAi in animals, a phenomenon induced by injection of double-stranded RI A (dsRNA) or introduction of transgenes expressing dsRNA. First, PTGS and RNAi both involve dsRNA. Second, they can be dissected into three steps: localized initiation, propagation of a sequence-specific systemic signal, maintenance in silenced tissues. Finally, they both correlate with the accumulation of 25nt sense and anti-sense RNTAs. Genetic dissection and cloning of genes regulating PTGS, quelling and RNAi confirmed the links between these three phenomena. Indeed, all three involve a putative RNA-dependent-INA polymerase and a protein similar to the translation initiator factor eIF2C. However some differences can be noticed. In particular, PTGS in plants requires two genes, SGS3 (encoding a protein of unknown function) and MET1 (encoding a DNAmethyltransferase), which are not required for RNAi Indeed, the genomes of C elegans and Drosophila (two organisms undergoing RNAi) lack both methylation and orthologs of the SGS3 gene). Several experiments revealed that PTGS is a general mechanism of virus resistance. In particular, we showed that Arabidopsis mutants impaired in PTGS are hypersensitive to

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infection by the virus CMV. However, many viruses have developed strategies to counteract PTGS and therefore succeed to infect plants. Because viruses may act as targets, inducers or inhibitors of PTGS, the success and the extent of virus infection therefore depends on the competition between plant PTGS defenses and virus counteracting effects.

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L6 ANSWER 16 OF 142 MEDLINE

TI Gene targeting in normal somatic cells: inactivation of the interferon-gamma receptor in myoblasts.

SO NATURE GENETICS, (1994 Jan) 6 (1) 90-7. Journal code: BRO. ISSN: 1061-4036.

AU Arbones M L; Austin H A; Capon D J; Greenburg G

Gene targeting in somatic cells represents a potentially powerful method for gene therapy, yet with the exception of pluripotent mouse embryonic stem (ES) cells, homologous recombination has not been reported for a well characterized, non-transformed mammalian cell. Applying a highly efficient strategy for targeting an integral membrane protein—the interferon gamma receptor—in ES cells, we have used homologous recombination to target a non-transformed somatic cell, the mouse myoblast, and to compare targeting efficiencies in these two cell types. Gene-targeted myoblasts display the properties of normal cells including normal morphology, ability to differentiate in vitro, stable diploid karyotype, inability to form colonies in soft agar and lack of tumorigenicity in nude mice.

- L6 ANSWER 6 OF 142 MEDLINE
- TI Fidelity of targeted recombination in human fibroblasts and murine embryonic stem cells.
- PROCEEDINGS OF THE NATIONAL ACADEMY OF SCIENCES OF THE UNITED STATES OF AMERICA, (1991 Sep 15) 88 (18) 8067-71.

  Journal code: PV3. ISSN: 0027-8424.
- AU Zheng H; Hasty P; Brenneman M A; Grompe M; Gibbs R A; Wilson J H; Bradley A
- Targeted recombination in murine embryonic stem cells promises to be a AΒ powerful tool for introducing specific mutations into target genes to study development in mice and to create animal models of human disease. Gene targeting also holds potential for correcting genetic defects as an approach to human gene therapy. To precisely modify target genes, homologous recombination must proceed with high fidelity. However, several results have suggested that targeted recombination may be highly mutagenic. To test the accuracy of gene targeting we analyzed 44 independent targeted recombinants at the hypoxanthine phosphoribosyltransferase (HPRT) locus in a human fibroblast cell line and in mouse embryonic stem cells. We surveyed 80 kilobases around the sites of recombination by using chemical cleavage of mismatches. Only two mutations were found: a T----G transversion and a thymidine deletion. Thus, gene targeting in mammalian cells can be extremely accurate. These results demonstrate the feasibility of generating precise modifications of mammalian genomes by gene targeting.

- L6 ANSWER 6 OF 142 MEDLINE
- TI Fidelity of targeted recombination in human fibroblasts and murine embryonic stem cells.
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.ches to human diseases.

- L6 ANSWER 23 OF 142 MEDLINE
- TI Gene targeting with a replication-defective adenovirus vector.
- SO JOURNAL OF VIROLOGY, (1995 Oct) 69 (10) 6180-90. Journal code: KCV. ISSN: 0022-538X.
- AU Fujita A; Sakagami K; Kanegae Y; Saito I; Kobayashi I
- Wide application of the gene-targeting technique has been hampered by its AΒ low level of efficiency. A replication-defective adenovirus vector was used for efficient delivery of donor DNA in order to bypass this problem. Homologous recombination was selected between a donor neo gene inserted in the adenovirus vector and a target mutant neo gene on a nuclear papillomavirus plasmid. These recombinant adenoviruses allowed gene transfer to 100% of the treated cells without impairing their viability. Homologous recombinants were obtained at a level of frequency much higher than that obtained by electroporation or a calcium phosphate procedure. The structure of the recombinants was analyzed in detail after recovery in an Escherichia coli strain. All of the recombinants examined had experienced a precise correction of the mutant neo gene. Some of them had a nonhomologous rearrangement of their sequences as well. One type of nonhomologous recombination took place at the end of the donor-target homology. The vector adenovirus DNA was inserted into some of the products obtained at a high multiplicity of infection. The insertion was at the end of the donor-target homology with a concomitant insertion of a 10-bp-long filler sequence in one of the recombinants. The possible relationship between these rearrangements and the homologous recombination is discussed. These results demonstrate the applicability of adenovirus-mediated gene delivery in gene targeting and gene therapy.

- L6 ANSWER 37 OF 142 MEDLINE
- TI Reversible immortalization of mammalian cells mediated by retroviral transfer and site-specific recombination.
- PROCEEDINGS OF THE NATIONAL ACADEMY OF SCIENCES OF THE UNITED STATES OF AMERICA, (1996 Aug 20) 93 (17) 8971-6.

  Journal code: PV3. ISSN: 0027-8424.
- AU Westerman K A; Leboulch P
- A procedure of reversible immortalization of primary cells was devised by AB retrovirus-mediated transfer of an oncogene that could be subsequently excised by site-specific recombination. This study focused on the early stages of immortalization: global induction of proliferation and life span extension of cell populations. Comparative analysis of Cre/LoxP and FLP/FRT recombination in this system indicated that only Cre/LoxP operates efficiently in primary cells. Pure populations of cells in which the oncogene is permanently excised were obtained, following differential selection of the cells. Cells reverted to their preimmortalized state, as indicated by changes in growth characteristics and p53 levels, and their fate conformed to the telomere hypothesis of replicative cell senescence. By permitting temporary and controlled expansion of primary cell populations without retaining the transferred oncogene, this strategy may facilitate gene therapy manipulations of cells unresponsive to exogenous growth factors and make practical gene targeting by homologous recombination in somatic cells. The combination of retroviral transfer and site-specific recombination should also extend gene expression studies to situations previously inaccessible to experimentation.

retrotransposons in the germline.

L5 ANSWER 6 OF 29 MEDLINE

is not essential for PTGS.

AN 2000409274 MEDLINE

- 13 -

- TI High-efficiency **silencing** of a beta-glucuronidase **gene** in rice is correlated with repetitive transgene structure but is independent of DNA methylation.
- SO PLANT MOLECULAR BIOLOGY, (2000 May) 43 (1) 67-82. Journal code: 9106343. ISSN: 0167-4412.
- Wang M B; Waterhouse P M AU AB Two transgenic callus lines of rice, stably expressing a beta-glucuronidase (GUS) gene, were supertransformed with a set of constructs designed to silence the resident GUS gene . An inverted-repeat (i/r) GUS construct, designed to produce mRNA with self-complementarity, was much more effective than simple sense and antisense constructs at inducing silencing. Supertransforming rice calluses with a direct-repeat (d/r) construct, although not as effective as those with the i/r construct, was also substantially more effective in silencing the resident GUS gene than the simple sense and antisense constructs. DNA hybridisation analyses revealed that every callus line supertransformed with either simple sense or antisense constructs, and subsequently showing GUS silencing, had the silence -inducing transgenes integrated into the plant genome in invertedrepeat configurations. The silenced lines containing i/r and d/r constructs did not necessarily have inverted-repeat T-DNA insertions. There was significant methylation of the GUS sequences in most of the silenced lines but not in the unsilenced lines. However, demethylation treatment of silenced lines with 5-azacytidine did not reverse the post-transcriptional gene silencing (PTGS) of GUS. Whereas the levels of RNA specific to the resident GUS gene were uniformly low in the silenced lines, RNA specific to the inducer transgenes accumulated to a substantial level, and the majority of the i/r RNA was unpolyadenylated. Altogether, these results suggest that both sense- and antisense-mediated gene suppression share a similar molecular basis, that unpolyadenylated RNA plays an important role in PTGS, and that methylation

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